

02/29/00
U.S. PTO
JULY 2 2000

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Practitioner's Docket No. RPS920010122US1
Customer No.: 25299

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

j1046 U.S. PTO
09/941877
08/29/01

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Robert T. Cato; Phuc Ky Do; Eugene Michael Maximilien;

For (title): METHOD AND SYSTEM FOR AUTOMATIC BROKERED TRANSACTIONS

1. Type of Application

This transmittal is for an original (nonprovisional) application.

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10*

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10*

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TRANSMISSION

transmitted by facsimile to the Patent and Trademark Office (703) -----

Date: 8-29-01

Amirah Scarborough
Signature

Amirah Scarborough
(type or print name of person certifying)

2. Papers Enclosed

**A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153
(Design) Application**

14 Page(s) of Specification

18 Page(s) of Claims

5 Sheet(s) of Drawing(s)--Formal

B. Other Papers Enclosed

2 Page(s) of declaration and power of attorney

1 Page(s) of abstract

3. Additional Papers Enclosed

Information Disclosure Statement (37 C.F.R. 1.98)

Form PTO-1449 (PTO/SB/08A and 08B)

4. Assignment

An assignment of the invention to International Business Machines Corporation; Armonk, NY is attached. A separate "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" is also attached.

5. Fee Calculation (37 C.F.R. Section 1.16)

Regular Application

CLAIMS AS FILED

Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$710.00
Total Claims	54	20	34	\$18.00	\$612.00
Independent Claims	5	3	2	\$80.00	\$160.00
Multiple Dependent Claims					\$0.00
Filing Fee Calculation					\$1,482.00

6. Fee Payment Being Made at This Time

Enclosed

Filing Fee **\$1,482.00**

Recording assignment (\$40; 37 C.F.R. Section 1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW APPLICATION".) **\$40.00**

Total Fees Enclosed **\$1,522.00**

7. Method of Payment of Fees

Charge Account No. 09-1990 in the amount of \$1,522.00.
A duplicate of this transmittal is attached.

8. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 09-1990.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

9. Instructions as to Overpayment

Credit Account No. 09-1990.

Date: 8/29



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